

Complex effects of nucleotide variants in a mammalian *cis*-regulatory element

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***Cis*-regulatory elements (CREs) control gene expression by recruiting transcription factors (TFs) and other DNA binding proteins. We aim to understand how individual nucleotides contribute to the function of CREs. Here we introduce CRE analysis by sequencing (CRE-seq), a high-throughput method for producing and testing large numbers of reporter genes in mammalian cells. We used CRE-seq to assay >1,000 single and double nucleotide mutations in a 52-bp CRE in the *Rhodopsin* promoter that drives strong and specific expression in mammalian photoreceptors. We find that this particular CRE is remarkably complex. The majority (86%) of single nucleotide substitutions in this sequence exert significant effects on regulatory activity. Although changes in the affinity of known TF binding sites explain some of these expression changes, we present evidence for complex phenomena, including binding site turnover and TF competition. Analysis of double mutants revealed complex, nucleotide-specific interactions between residues in different TF binding sites. We conclude that some mammalian CREs are finely tuned by evolution and function through complex, nonadditive interactions between bound TFs. CRE-seq will be an important tool to uncover the rules that govern these interactions.**

gene regulation | systems biology | genomics | retina | CRX

Mutations in *cis*-regulatory elements (CREs) often have unexpected effects on gene regulation. We lack models with the predictive power to accurately interpret the functional consequences of noncoding polymorphisms. More generally, we do not understand the nucleotide-level architecture that distinguishes true CREs from nonfunctional groupings of transcription factor (TF) binding sites (TFBS). Although consortium-driven efforts continue to predict that large numbers of mammalian sequences are CREs (1, 2), we lack a corresponding high-throughput method for functionally analyzing the consequences of variants in these elements. Addressing these problems requires fine structure mutational analysis of mammalian CREs on a large scale—experiments that are difficult to perform using traditional assays. To facilitate such experiments, we developed CRE analysis by sequencing (CRE-seq), a high-throughput reporter gene assay for mammalian cells.

CRE-seq leverages recent advances in oligonucleotide (oligo) synthesis (3) and high-throughput sequencing (4). Using array-based oligo synthesis, we construct large numbers of reporter genes with unique sequence barcodes in their 3' UTRs. These libraries of barcoded reporter genes are then transfected, *en masse*, into mammalian cells and quantified by performing RNA sequencing (RNA-Seq) (5) on the sequence barcodes. Here we present a study using CRE-seq to dissect a CRE in mouse *Rhodopsin* (*Rho*), a gene that is expressed strongly and specifically in the mammalian retina.

Tight control of *Rho* expression is critical for the function of mammalian retinas (6, 7). *Rho* expression is regulated in mice by multiple CREs located at varying distances from the transcription start site (TSS) (8, 9). These elements are occupied *in vivo* by CRX, a retinal homeodomain TF (8). One of these CREs, RhoCRE3, is located immediately upstream of the TSS and is sufficient to drive high levels of expression in rod photoreceptors (10, 11). This element contains binding sites for CRX and for NRL, a retina-specific basic-leucine-zipper protein (12, 13). How

individual nucleotides within RhoCRE3 contribute to its function is not clear. To elucidate the functional architecture of RhoCRE3, we used CRE-seq to analyze the effects of >1,000 variants of this element.

Results

We developed CRE-seq, a method that parallelizes the construction and measurement of mammalian reporter genes. Using high-throughput oligo synthesis (3), we created 1,040 variants of RhoCRE3, including all possible single nucleotide substitutions (156 mutations) and a large number of double substitutions (819 mutations). We also synthesized the wild-type RhoCRE3 sequence 65 times to include as controls. Each mutant RhoCRE3 was synthesized adjacent to a unique 9-bp sequence barcode. To provide redundancy in the experiment, each single mutant was attached to 10 unique barcodes, and each double mutant was attached to 5 unique barcodes. We then cloned the native *Rho* minimal promoter driving the fluorescent protein DsRed between the RhoCRE3 variants and their identifying barcodes. In the final plasmid library, RhoCRE3 variants were located in a position immediately upstream of the TSS, surrounded by the same context sequence as in the endogenous *Rho* gene. The library contains 5,720 distinct reporter genes, each with a unique sequence barcode in the 3' UTR of the DsRed gene (Fig. 1A). We electroporated this library into explanted newborn mouse retinas (9). Using DsRed to monitor the progression of the experiment, we confirmed that library expression was specific to rod photoreceptors (Fig. 1B), the cell type in which *Rho* is expressed.

We measured the expression of all library members using high-throughput sequencing. After growing the electroporated retinal explants in culture, we extracted both RNA and DNA and sequenced the barcodes from both samples. The expression level of each barcoded reporter gene was calculated as the cDNA barcode sequence counts normalized to the DNA barcode counts (Dataset S1). To test the reliability of CRE-seq, we compared the expression of 12 RhoCRE3 variants measured by both CRE-seq and a standard fluorescence reporter assay (14). We observed strong correlation between both measurements ($R^2 = 0.95$; Fig. 1C), providing evidence that CRE-seq accurately quantifies gene expression in this system.

In a previously reported *in vitro* multiplex reporter gene assay (16), sequence barcodes were placed adjacent to the TSS and had large effects on reporter gene expression. To ameliorate this problem, we placed our barcodes in the 3' UTR of DsRed, far from the start site of transcription. To demonstrate that the barcodes did not interfere with our assay, we performed an

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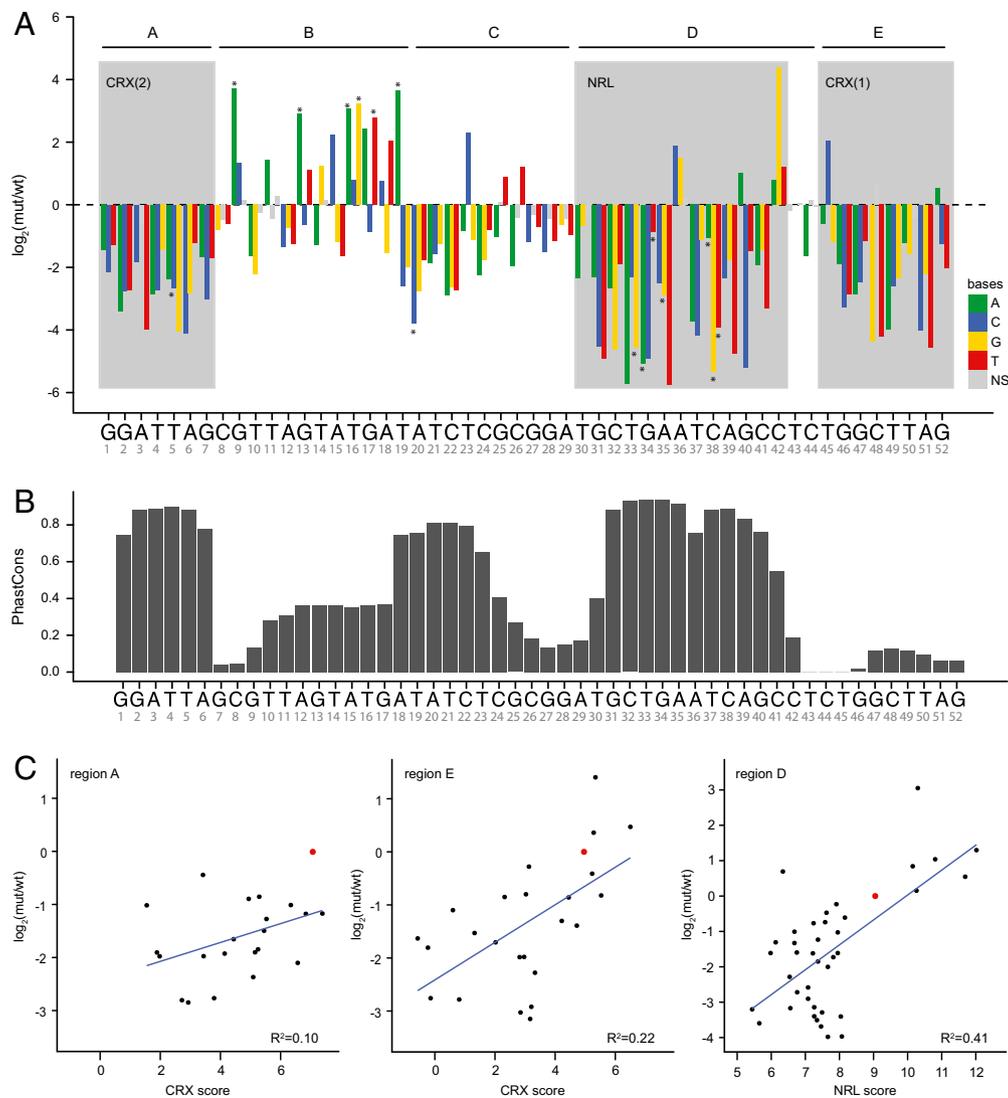


Fig. 2. (A) Effects of single nucleotide mutations on reporter expression. x axis, nucleotide position in RhoCRE3; y axis, relative expression by CRE-seq quantified as the $\log_2(\text{variant RhoCRE3/wild-type RhoCRE3})$. Colored bars represent substitutions whose expression was significantly different from wild-type (Welch's t test, $P < 0.05$). Gray bars represent substitutions that are not significantly different from wild-type. Each position has three bars representing the three possible substitutions at that position. For analysis we divided RhoCRE3 into five regions (A–E). Experimentally validated binding sites for CRX and NRL are shaded in gray. Asterisks mark the locations of substitutions that create new CRX binding sites ($\geq 2\%$ predicted affinity relative to consensus). (B) Phylogenetic conservation of nucleotides in RhoCRE3. x axis, position in RhoCRE3; y axis, PhastCons score derived from a multiple alignment of 28 mammalian sequences (17). (C) Relationship between the effects of mutations on the predicted binding affinity of TFs versus the mutations' effects on gene expression. x axis, predicted affinity of RhoCRE3 mutations for CRX or NRL; y axis, observed effects of mutations on gene expression. In each graph the red dot represents the wild-type sequence of RhoCRE3, and the blue line represents the linear regression model.

substitutions that decrease expression (Fig. 24). Because region C shows a similar pattern of variants with decreased expression, we hypothesized that it also represents a TFBS. Using the single mutant expression data, we created an activity logo of this sequence (Fig. S2D). The activity logo generated for region C does not match any of the sequence logos in the Transfac database (23), which suggests that if these mutants effect the binding of a TF, it is a TF whose binding specificity has yet to be determined. However, the activity logo for the region overlapping with region B does show similarity to the CRX PWM ($P < 0.05$, ALLR test), which suggests that many mutations in region B exert their effects by creating a CRX site (Fig. S2E).

Region B is qualitatively different from the other regions of RhoCRE3 in that substitutions in region B often increase, rather than decrease expression. This finding suggests that mutations in region B either disrupt a binding site for a repressor or create new binding sites for activators. Because the density of mutations

with large effects in this region is less than the density in regions with known TFBS, we favor the hypothesis that these mutations create binding sites for an activator. An obvious candidate for this activator is CRX.

To identify mutations that create CRX binding sites, we searched the entire library of single nucleotide mutants for matches to the CRX PWM. Mutations that create sequences with low predicted affinity to CRX are marked with an asterisk in Fig. 24. Surprisingly, mutations that create putative CRX sites, as defined by a PWM score threshold, are not distributed randomly throughout RhoCRE3; rather, these mutations cluster in regions B and D. This finding suggests that both regions B and D contain sequences that are very close to CRX binding sites. We analyzed these sequences in more detail.

Region B corresponds to a region of high evolutionary conservation in vertebrates. We scanned the orthologous regions from multiple vertebrate species with our CRX PWM and found

that in most other mammals, region B contains a sequence with a low-affinity match to CRX PWM (Fig. S3). The presence of this putative low-affinity CRX site in most mammals accounts for the strong evolutionary conservation of region B. However, neither the rat nor the mouse genome has a potential CRX site in region B as defined by the same PWM score threshold. By using a neutral rate of 0.233 substitutions/site (24), the three observed differences between mouse and rat in region B were not significantly different from the 2.33 that are expected under neutrality. This finding suggests that these two species are accumulating substitutions in region B at a rate consistent with neutral evolution and that there has been recent turnover of CRX sites in region B in the rodent lineage. Together, these results suggest that mutations in region B that create CRX sites increase expression by re-creating sequences that resemble the ancestral form of RhoCRE3.

In contrast to region B, all mutations that create sequences matching CRX sites in region D decrease expression. In region D, these new hypothetical CRX sites disrupt the known NRL site. These overlapping CRX and NRL binding sites create complex expression patterns that suggest competition between the two factors. For example, mutations at position 36 suggest competition between NRL and CRX binding. All three substitutions at position 36 increase the predicted affinity of NRL, but only the two substitutions that also decrease the predicted affinity of CRX increase expression (Fig. 3). Only some mutations in region D support the competition model, suggesting that other factors play a role in regulation of region D (Fig. S4). Further experiments are needed to determine whether CRX and NRL actually compete for binding to the sequence in region D.

Other position-specific effects on expression cannot be explained by CRX and NRL site affinity. For example, mutations at position 42 have a dramatic effect on expression but a relatively minor effect on predicted NRL or CRX affinity. Mutations at position 42 may create a new TFBS (Fig. S2F) or alter the DNA helix structure (Fig. S5) (25, 26).

Analysis of Double-Mutant CRE Variants. Our library also contained all possible double mutations between the NRL site in region D and the CRX site in region E. On average, the double-mutant RhoCRE3 variants have lower expression than the single mutants ($P < 0.05$, Wilcoxon). In addition, many (58%) double mutants have effect sizes that are larger than either of their component single mutations. We used linear modeling (*Materials and Methods*) to identify significant nonadditive interactions between mutations and, if present, to determine the strength and direction of such interactions. Using ANOVA, we found that 82% of double

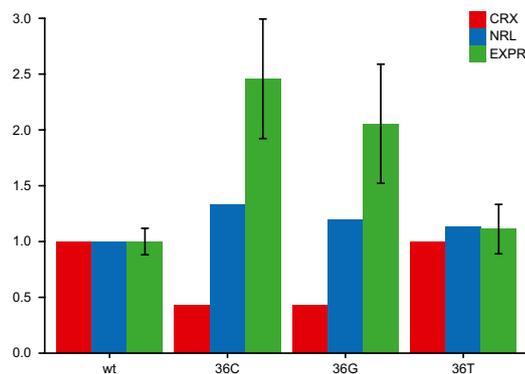


Fig. 3. Complex interactions between CRX and NRL in region D. The effects of mutations at position 36 support a model of competition between NRL and CRX. The x axis shows the identity of the base at position 36. Red bars, relative affinity of CRX normalized to the wild-type sequence; blue bars, relative affinity of NRL normalized to the wild-type sequence; green bars, expression normalized to the wild-type sequence. Error bars represent the SEM.

mutants had a significant interaction between positions that cannot be explained by an additive model of single-mutant expression values ($P < 0.01$, F test). Our results contrast with those of Melnikov et al. (18) and Patwardhan et al. (19), who found very few interactions between mutations in enhancers. Our data show that some positions have interactions with many other positions, whereas other positions do not participate in any interactions (Fig. 4A). For some positions, a particular substitution can have an interaction that is either more or less than additive, depending on the position of the second mutation (Fig. 4B).

In addition, we observed that interactions between pairs of mutations are usually nucleotide-specific, rather than position-specific. Most models are improved with the addition of some, but not all, of the nine possible interaction terms ($P < 0.01$, F test). Mutations at two particular residues can combine to be either more or less than additive, depending on the specific identities of the substituted nucleotides (Fig. 4C). Combinations of mutations give rise to expression levels that cannot easily be predicted given the expression levels of single mutants. Physical interactions between NRL and CRX (27) likely underlie some of the complexity we observe, but more detailed physical models will be required to unravel the molecular basis of these nonlinear genetic interactions.

Discussion

Using CRE-seq, we found that single-nucleotide substitutions in RhoCRE3 often result in large increases or decreases in expression, suggesting that this element may be highly constrained as to which mutations it tolerates through evolution—a hypothesis supported by the strong evolutionary conservation of this region. Changes in the predicted affinity of TFBS to known TFs partially explain the effects of these mutations, but they cannot explain the full in vivo activity of substitutions. More complex phenomena, such as recent binding site turnover as well as competition between CRX and NRL, may help explain the effects of some substitutions. The fact that the majority of double mutants have expression levels consistent with a nonadditive model between positions suggests that interactions between CRX and NRL underlie some of the complex behaviors of RhoCRE3 mutants. Consistent with the many other studies, our results suggest that the interpretation of noncoding polymorphisms would require consideration of both low-affinity sites and the creation of novel sites. Overall, our results paint a picture of CREs as complex regulatory elements whose function can easily be altered by subtle changes to their nucleotide sequences.

In contrast, Melnikov et al. (18) and Patwardhan et al. (19) conclude that CREs are largely redundant at the nucleotide level, such that few mutations create large changes in expression. Our results showing large effects of single-nucleotide substitutions agree with many detailed studies of single reporter genes (for example, refs. 28–36). We also show evidence for extensive nonlinear interactions between CRE mutations, whereas both Melnikov et al. (18) and Patwardhan et al. (19) conclude that mutations in enhancers act independently of each other. What might account for these dramatic differences in conclusions?

Some differences between these studies and our study are likely due to the CREs each group chose to examine. We chose to study an extensively validated CRE (8, 9) that drives the expression of *Rho*, the most highly expressed gene in the mammalian retina. This element shows strong evolutionary conservation throughout the vertebrate lineage and lies proximal to the start site of transcription. These features may make RhoCRE3 activity in CRE-seq susceptible to substitutions in ways that other CREs are not.

Technical differences between protocols probably contribute to some of the differences between these studies. Template switching during the PCR cycles used for library preparation can result in high rates of chimerism (37), which can disrupt the unique correspondence between CRE variants and barcodes. Chimerism decreases both the accuracy and dynamic range of the assay. In Melnikov et al. (18) and Patwardhan et al. (19), limited dynamic range due to the extensive use of PCR may have made it appear that enhancers are robust to mutation. In contrast, our method

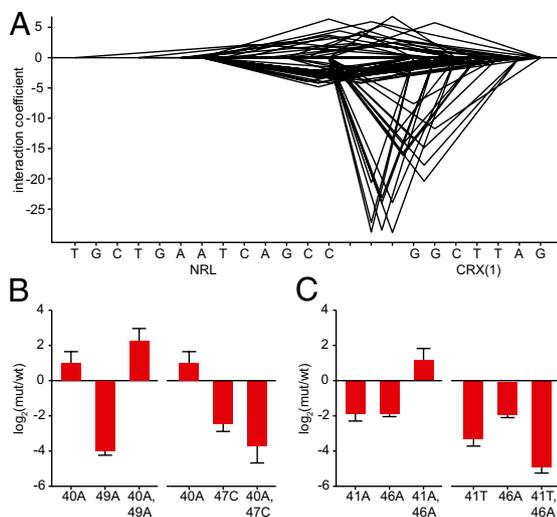


Fig. 4. Interactions between mutations in TFBS within regions D and E. (A) Pattern of interactions. Each arc connects two nucleotides that have a significant interaction term by ANOVA (*Materials and Methods*). The height of the arc represents the magnitude of the interaction term. Only interactions greater than 3 or less than -3 are shown. (B) The magnitude and direction of interactions are position-specific. The interaction between mutations 40A (CRX) and 49A (NRL) is significantly more than additive and greater than the effect of either single mutant, whereas the interaction between mutations 40A and 47C (NRL) is less than additive and greater than the effect of either single mutant. (C) Interactions between the same positions are base-specific. The interaction between 41A (CRX) and 46A (NRL) is significantly more than additive, whereas the interaction between 41T and 46A is less than additive.

makes limited use of PCR amplification (*Materials and Methods*) to avoid the creation of chimeras. Finally, we chose to focus on only 1,040 mutations in a single experiment, which allowed us to obtain very high sequence coverage of our library. Both Melnikov et al. (18) and Patwardhan et al. (19) assayed much larger numbers of mutant promoters, making low sequence coverage and the resulting loss of statistical power a significant issue in these studies. In Patwardhan et al. (19), low sequence coverage of their library necessitated the use of an indirect measure of barcode counts as the metric of expression.

We have demonstrated that CRE-seq is a robust technology for assaying large numbers of CRE mutations. CRE-seq has a large dynamic range, nucleotide level resolution, and excellent reproducibility. Our analyses revealed a surprising amount of previously unknown complexity in RhoCRE3, an element that has been extensively studied. Our results demonstrate that nucleotides within CREs interact in complex and often nonintuitive ways to produce regulated patterns of gene expression. We anticipate that CRE-seq will be an important tool for unraveling the rules that govern the function of CREs.

Materials and Methods

CRE-seq Library Construction. To create the CRE-seq library plasmid backbone, we replaced the NotI site in plasmid Rho_minprox-DsRed (8, 9) with an EagI-XhoI-Clal polylinker, creating plasmid pJK01. We then engineered sites for MfeI at position 25 and KasI at position 102 (following the numbering in refs. 8 and 9), creating pJK02.

The wild-type RhoCRE3 sequence spans positions 115,881,830–115,881,881 on mouse chromosome 6 (NCBI37/mm9). This region corresponds to the sequence between positions 68 and 120.

A pool of 5,720 unique 150-mer oligos was ordered through a limited licensing agreement with Agilent Technologies. Oligos were designed with the following structure: JKP1F, MfeI site, RhoCRE3 variant, KasI site, EcoRI site, EagI site, 9 bp barcode, Clal site, and JKP1R (*Table S1*). RhoCRE3 variants were designed between positions 68 and 120.

We amplified the oligo pool using four cycles of PCR with Phusion High-Fidelity polymerase (New England Biolabs) and primers JKP1F and JKP1R. We

cloned the amplicon into pJK01 using MfeI and Clal. We prepared DNA from 48,000 colonies to generate library PL5_1. We then cloned the minimal Rho promoter driving DsRed into PL5_1. A cassette containing the Rho minimal promoter fused to DsRed was amplified from pJK01 with primers JKP2F and JKP2R (*Table S1*). The PCR amplicon was cloned into library PL5_1 by using KasI and EagI, creating library PL5_2. To select for library members with full-length RhoCRE3 variants, we linearized PL5_2 with HindIII, gel purified the library, and recircularized to create library PL5_3.

We created library (BL_1) to determine the effect of barcode sequences on reporter gene expression. Barcode sequence inserts JKP5F and JKP5R (*Table S1*) were annealed and cloned into pJK01 by using EagI and Clal. We prepared library DNA from 100,000 colonies.

Retinal Electroporation. Electroporations and explant cultures were performed as described (9) by using 0.5 $\mu\text{g}/\text{mL}$ library PL5_3 as well as 0.5 $\mu\text{g}/\text{mL}$ Rho minimal promoter driving GFP for visualization of electroporation efficiency (14). After 8 d in culture, retinas were washed twice in sterile HBSS (Gibco, Life Technologies), and total RNA and DNA were extracted by using TRIzol according to manufacturer's instructions (Ambion, Life Technologies).

Comparison Between a Fluorescence Assay and CRE-seq Twelve previously characterized RhoCRE3 variants (14, 15) were excised from their respective vectors with XbaI and KpnI and cloned into the barcode library BL_1. For each variant, we isolated 10 uniquely barcoded constructs. We mixed all 120 constructs together for CRE-seq analysis.

Preparing Samples for RNA-Seq. Isolated RNA was treated with DNaseI (Ambion) to eliminate potential genomic DNA contamination. The first strand of cDNA was synthesized with Invitrogen SuperScript II reverse transcriptase by using oligo-dT primers. After first strand synthesis, the reaction was treated with RNase H (NEB) to remove RNA. The 3' UTR of the DsRed gene, including the barcode sequence, was amplified from both cDNA and isolated plasmid DNA samples. Amplification (98 °C for 1 min, 21 cycles: 98 °C for 10 s, 58 °C for 30 s, 72 °C for 30 s, and 72 °C for 5 min; NEB HF Phusion MM) of the cDNA and plasmid DNA samples isolated by PCR using primers JKP3F and JKP3R (*Table S1*) yielded barcode sequences that were flanked with EagI and EcoRI restriction enzyme sites. The products were purified with Qiagen QIAquick PCR Purification kit and digested with EagI and EcoRI. Illumina adapter sequences were ligated onto these overhangs. This product was amplified (98 °C for 1 min, 21 cycles: 98 °C for 30 s, 65 °C for 30 s, 72 °C for 30 s, and 72 °C for 5 min) with HF Phusion MM by using primers JKP4F and JKP4R (*Table S1*) to enrich for molecules that contain both adapter sequences.

To measure expression of the barcoded library, electroporation replicates were multiplexed and run on two lanes of an Illumina HiSeq machine, which generated 48.2 million sequence reads corresponding to cDNA and 48.8 million reads corresponding to DNA. Sequencing reads that matched the first 20 nucleotides of designed sequence were counted, regardless of quality score. Only barcodes with >10 reads in either cDNA or DNA pools were used for analysis.

Determination of Expression Levels of Rho Variants. We determined the expression levels of each RhoCRE3 variant by computing the average cDNA/DNA ratio for all barcodes that marked the same RhoCRE3 variant (*Dataset S2*). We also computed the SEM for each average in each replicate. We then averaged the averages from the three replicates and propagated the SE using the following formula:

$$SE\left(\frac{\sum E_n}{n}\right) = \sqrt{\sum \left(\frac{SE(E_n)}{n}\right)^2}$$

The propagation of SE when computing the ratio of mutant to wild-type expression levels was calculated as:

$$SE\left(\frac{E_{mut}}{E_{wt}}\right) = \sqrt{\frac{E_{mut}^2}{E_{wt}^2} \left[\left(\frac{SE(E_{mut})}{E_{mut}}\right)^2 + \left(\frac{SE(E_{wt})}{E_{wt}}\right)^2 \right]}$$

Analysis of Binding Site Mutations. The CRX PWM was derived from quantitative binding affinity data (14) according to ref. 38. Similarly, a NRL PWM was derived from sequence data from ref. 22. The two TF matrices were scored against every position of each CRE variant by using *patser* (39).

Nonadditive Model for Variants with Two Substitutions. Linear regression was used to determine the extent of nonadditive expression in the variants with

two substitutions. First, the following two models were generated for each combination of positions and compared by using ANOVA ($P < 0.01$):

$$E_{1,2} = WT + \beta_1 mut_1 + \beta_2 mut_2$$

$$E_{1,2} = WT + \beta_1 mut_1 + \beta_2 mut_2 + \beta_3 mut_{1,2}$$

Models that were significantly improved (82%, 75/91) by the addition of the interaction term were further analyzed for base-specific interactions. After selecting positions with significant nonadditive expression, we used ANOVA ($P < 0.01$) to test each of the nine possible base-specific interactions between positions.

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